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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chu, et. al. )  
 )  
Filed: April 20, 2004 )  
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Serial No.: 10/828,601 ) Group Art Unit: 1743  
 )  
Title: Lidocaine Analogs and Methods of )  
Making and Using Same )  
 )  
Atty Docket No.: DCS-9173 )

TRANSMITTAL LETTER

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed please find for the above-referenced application, an Information Disclosure Statement and Certification Pursuant to 37 C.F.R. §§ 1.97 and 1.98 (three copies for accounting purposes); Form PTO-1449 on which the citations are listed; copies of references cited; and a Return Post Card.

Respectfully submitted,

Robert N. Carpenter  
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Attorney for Applicants

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1717 Deerfield Rd., Box 778  
Deerfield, IL 60015-0778  
Phone Contact: 847-267-5351  
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CERTIFICATE OF MAILING

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450, on this 9th day of September, 2004.

  
Levia Anderfuren



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Chu, et. al. )  
)  
Filed: April 20, 2004 )  
) Group Art Unit: 1614  
Serial No.: 10/828,601 )  
) Examiner: Unknown  
Title: Lidocaine Analogs and Methods of )  
Making and Using Same )  
)  
Atty Docket No.: DCS-9173 )

**INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§ 1.97 AND 1.98**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicants wish to bring the following information to the attention of the Examiner in connection with the subject application. A copy of each citation is attached, as is Form PTO-1449, on which the citations are listed.

Applicants believe that no fee is due, in as much as there has been no office action. However, if any fee is due, please charge the fee or any additional amount required for filing this document to our Deposit Account No. 04-0010. This authorization is provided in triplicate for accounting purposes.

This Disclosure Statement is not intended to substitute for the Examiner's own search. It is believed, however, that this Disclosure Statement will assist the Examiner in the search. The Examiner is expressly requested to review each item cited herein and to make all of the

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**CERTIFICATE OF MAILING**

I hereby certify that this document is being deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: MAIL STOP AMENDMENT, Assistant Commissioner of Patents, Washington, D.C. 20231, on this 9th day of September, 2004.

Leyla Anderfuren

cited items of record in this case as having been considered. The completed Form PTO-1449 is attached for this purpose.

Citation of the items herein is not to be construed as an admission that the information is within the scope and content of the prior art relevant to the present invention, that the information is prior in time to a particular date which may be relevant to the present patent application, that the information is otherwise prior art with respect to the present invention, or that the information cited is material to the claims. In addition, applicants reserve the right to later set forth how the present invention is distinguished over the disclosure of any document or other prior art, including the information cited herein.

Respectfully submitted,



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INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	4,069,105	3 Mar 1977	Singh, Prithipal			
	A02	4,650,771	4 Nov 1983	Buckler et. al.			
	A03	4,480,042	18 May 1983	Craig, et. al.			
	A04	5,981,296	18 Feb 1997	Stout, Richard Wayne			
	A05	5,705,353	7 Jun 1995	Oh, et. al.			
	A06	5,534,620	25 May 1995	Oh, et al.			
	A07	5,422,281	31 Jul 1992	Harris, et. al.			
	A08	5,354,654	16 Jul 1993	Ligler, et. al.			
	A09	5,240,571	24 Apr 1991	Heineman, et. al.			
	A10	5,196,351	8 Jun 1990	Harris, et. al.			
	A11	5,106,950	1 Jul 1988	Farina, et. al.			
	A12	4,785,080	29 Aug 1985	Farina, et. al.			
	A13	4,650,771	4 Nov. 1983	Buckler, et. al.			
	A14	4,585,862	8 Feb 1984	Wang, et. al.			
	A15	4,550,075	22 Jun 1983	Bacquet, et. al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B01						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	C01	Merck Index, 13 <sup>th</sup> Edition, pg. 982 (1997)
	C02	
	C03	

\* References were previously cited by the Applicant or by the Examiner and thus copies of these references are not being resubmitted with this statement. Copies of the prior PTO-1449 and -892 forms are enclosed herein. See 37 C.F.R. §1.98(d).

Examiner

Date Considered

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])